

**UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION**

CLERK'S MINUTES

CASE NO.: 8:19-cv-448-VMC-CPT	DATE: July 30, 2021	
HONORABLE VIRGINIA M. HERNANDEZ COVINGTON		
U.S. SECURITIES AND EXCHANGE COMMISSION Plaintiff, v. SPARTAN SECURITIES GROUP, LTD., et al. Defendants	PLAINTIFF COUNSEL Alice K. Sum, Alise M. Johnson, and Christine Nestor DEFENDANT COUNSEL Caleb Kruckenberg, Kara McKenna Rollins and John Vecchione	
COURT REPORTER: Shayna Montgomery	DEPUTY CLERK:	Tamecika Lee
TIME: 1:51 PM – 2:04 PM; 2:15 PM – 2:19 PM TOTAL: 17 minutes	COURTROOM:	14B

PROCEEDINGS: JURY TRIAL (Day 13)

9:35 AM Jurors begin deliberating.

1:51 PM Court resumes.

1:54 PM Jury enters the courtroom. Jury reached a verdict in favor of the defendants as to Counts 1-5, 7-14, and in favor of the Plaintiff as to Count 6. The courtroom clerk publishes the verdict.

The jurors are polled.

2:04 PM Jurors excused.

2:15 PM The Court addresses the parties.

The Court **DENIED** the Defendants' oral motion for Judgment as a Matter of Law (Doc. 244) at to Count 6 and **DENIED AS MOOT** as to Counts 1- 5, 7-14.

The Court **DENIED** the Plaintiff oral motion for Judgment as a Matter of Law (Doc. 245) as to Count 6 and **DENIED AS MOOT** as to Counts 1- 5, 7-14.

2:19 PM Court adjourned.